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UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

RICHARD ROE, RICHARD ROE II, DON A.  
NELSON, Individuals,

Plaintiffs,

vs.

JOHN DOE, MARK CUBAN, an individual;  
and DALLAS BASKETBALL, LTD., a  
partnership, and DOES 1 through 10,

Defendants.

Case No.: 3:09-CV-682 PJH

**MOTION TO STRIKE DEFENDANTS'  
REPLY TO PLAINTIFF'S RESPONSE  
IN OPPOSITION TO DEFENDANTS'  
SPECIAL MOTION TO STRIKE  
COMPLAINT PURSUANT TO  
CALIFORNIA CODE OF CIVIL  
PROCEDURE ' 425.16 OR, IN THE  
ALTERNATIVE, FOR LEAVE FOR  
PLAINTIFF TO FILE SURREPLY**

Hearing Date: April 22, 2009  
Time: 9:00 a.m.  
Courtroom: 5, 17<sup>th</sup> Floor

**MOTION TO STRIKE**

Plaintiff Nelson hereby objects to and moves to strike defendants' reply or, at a minimum, all evidence presented for the first time in conjunction with their reply which should have been included in their opening brief. In the alternative, should the court choose to receive the challenged evidence, plaintiff requests leave to submit his sur-reply.

In their reply to plaintiff's opposition, defendants have purported to cite, at footnote 2, numerous additional "articles concerning Nelson and Cuban's contractual dispute." None of these purported articles are attached to the brief or authenticated. All of the purported articles

were published before defendants filed this motion, but defendants have chosen to present this purported “evidence” for the first time in reply to plaintiff’s opposition to the motion.

Where moving parties present evidence for the first time in their reply, it is error for the court to receive that information without permitting the opposing party to address that additional material by sur-reply. *Provenz v. Miller*, 102 F.3d 1478, 1483 (9th Cir. 1996). This court is respectfully requested to strike defendants’ reply or, at a minimum, all of the new evidence which defendants chose not to include in their opening brief. In the alternative, should this court receive the newly proffered evidence, plaintiff respectfully requests this court to grant him leave to file the following surreply to address defendants’ evidence.

#### **PLAINTIFF’S SUR-REPLY OPPOSITION TO DEFENDANTS’ MOTION TO STRIKE**

Defendants cite numerous news articles<sup>1</sup> in support of their contention that (1) the parties’ contract dispute was an issue of public interest; and, (2) that plaintiff sought public attention and should not be permitted to deny that he is an all purpose public figure. Both of these contentions grossly overstate the substance and effect of the articles.

If the original articles attached by defendants’ as Exhibits 2 – 10 to the Declaration of Amanda L. Bush are any indication, only minor portions of each article has any bearing whatsoever on the matters at issue in this action.<sup>2</sup> The articles, themselves, demonstrate that plaintiff Nelson clearly avoided making any substantive comments regarding the dispute. Similarly, the articles do not appear to support any contention that plaintiff ever did anything to attract attention to his non-basketball activities, to influence the public on any matter of public importance, or to inject himself voluntarily into the public eye apart from his management and handling of basketball games.

<sup>1</sup> Defendants’ citations are found at *Defendants’ Reply to Plaintiff’s Opposition to Defendants’ Motion to Strike* at 3 - 4, n. 2 [Defendants’ Reply.] Defendants do not attach any of the purported articles. Plaintiff additionally objects to these citations as hearsay and based upon the best evidence rule.

<sup>2</sup> For example, in Bush Exhibit 2, only 3 sentences in a 682 word article refer to the contract dispute. Similarly, in Bush Exhibit 3 only 2 sentences in a 540 word article mention the dispute. Presumably, defendants selected and attached the most favorable of the “over 100” articles they claim relate to the contract dispute. Defendants’ Reply at 3 n. 2.

1 In short, the news articles do not establish that the private contract dispute between  
 2 plaintiff Nelson and defendants was a matter of public interest or a public issue sufficient to  
 3 trigger the procedural requirements of California Code of Civil Procedure ' 425.16 (" ' 425.16").  
 4 In fact, the dispute clearly does **NOT** implicate any public issue as its resolution affected only the  
 5 immediate parties. The private arbitration of defendants' obligation to pay plaintiff Nelson the  
 6 deferred compensation he earned under his contract over the course of his long association with  
 7 the Dallas Mavericks has no impact on the contract rights of any other basketball player or coach  
 8 let alone any member of the general public. Compared to the amount of attention paid to team  
 9 issues in each article, it is also apparent that the contract dispute was merely used by the press to  
 10 lend some spark of controversy to the ordinary coverage. Defendants' defamatory statements  
 11 were not made in relation to any matter of public interest or any public issue and ' 425.16 does  
 12 not apply to plaintiff's claim.

13 Plaintiff respectfully requests this court to deny defendants' Special Motion to Strike.

14  
 15 **EVEN IF DEFENDANTS' EVIDENCE IS SUFFICIENT TO ESTABLISH THAT THE**  
 16 **PARTIES' PRIVATE CONTRACT DISPUTE WAS A NEWSWORTHY PUBLIC ISSUE,**  
 17 **PLAINTIFF MUST DEMONSTRATE THE PROBABILITY OF PREVAILING ON THE**  
 18 **MERITS ONLY BY THE ORDINARY NEGLIGENCE DEFAMATION STANDARD**

19 Even if the proffered news articles demonstrate that the parties' contract dispute was a  
 20 "public issue" for application of ' 425.16 to this action, it does not necessarily follow that  
 21 Nelson is also a "public figure" under *Gertz v. Robert Welch, Inc.*, 418 U.S. 323 (1974) for the  
 22 purposes defining plaintiff's burden of proof on his defamation claim. Merely being  
 23 "newsworthy" does not make a person a public figure nor make a private dispute into a matter of  
 24 public importance. *Time, Inc. v. Firestone*, 424 U.S. 448 (1976).

25 *Time, Inc. v. Firestone, supra*, 424 U.S. 448, clearly rebuts defendants' contention that  
 26 their citation to numerous news articles necessarily propels plaintiff into the realm of a public  
 27 figure for purposes of his defamation claim. In *Firestone*, plaintiff Firestone sued Time  
 28 Magazine for defamation arising out of the publication of a short notice about plaintiff's divorce  
 from her wealthy husband. The divorce proceedings had been avidly followed with prurient  
 interest by the public and plaintiff had even held press conferences to specifically address

1 reporter's questions about the proceedings. Nevertheless, the Supreme Court distinguished  
2 between matters which were merely newsworthy and of public interest from those which would  
3 implicate the plaintiff as a public figure subject to the higher standard of proof in her defamation  
4 action. The Court held that plaintiff was not a public figure under *Gertz v. Robert Welch, Inc.*,  
5 418 U.S. 323 (1974) despite the widespread interest in the controversy and the active reportage of  
6 the legal proceedings. Accordingly, the court held that plaintiff was only required to prove that  
7 defendants' defamatory statements were negligently published and she was not required to prove  
8 constitutional actual malice.

9 In this case, Nelson did even less to publicize his private contract dispute than did Mrs.  
10 Firestone – he held no press conferences to specifically discuss his side of the dispute and, in fact,  
11 the articles attached by defendants in support of this motion demonstrate Nelson's clear  
12 reluctance to discuss the substance of the dispute in any public forum. Defendants' "evidence"  
13 does nothing to either negate the private nature of the contract dispute or implicate the limited  
14 scope of plaintiff's public persona. In ruling on this motion, the court is not to weigh the  
15 evidence since plaintiff need only demonstrate a prima facie factual showing akin to opposing a  
16 motion for summary judgment. *Taus v. Loftus*, 40 Cal.4<sup>th</sup> 683, 714 (2007). Consequently, there  
17 is insufficient evidence to conclude that plaintiff voluntarily injected himself into public matters,  
18 or sought to influence public opinion on a public controversy sufficient to justify finding him a  
19 "public figure" for all purposes. Since this action does not relate to the limited area for which  
20 plaintiff might be a public figure (Nelson's management of basketball teams and games), plaintiff  
21 need not only prove that defendants' were negligent in publishing their defamatory statements  
22 about plaintiff Nelson. *Time, Inc. v. Firestone*, *supra*, 424 U.S. 448. Plaintiff's evidence of  
23 defendants' knowledge of that the statements were false or reckless disregard for the truth is more  
24 than sufficient to support his claims and establish the probability of prevailing on his claim.

25 Plaintiff respectfully requests this court to deny defendants' Special Motion to Strike.

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**REQUEST FOR LEAVE TO CONDUCT DISCOVERY**

In the event that this court determines that plaintiff is obligated to demonstrate defendants' "actual malice" and has failed to sustain his burden of proof, plaintiff seeks leave of court to conduct discovery relating to that issue prior to this court's final decision on defendants' motion.

' 425.16 permits a defendant to bring a Special Motion to Strike within 60 days of service of summons and complaint. At this very early stage of the litigation, Section 425.16(g) stays all proceedings including discovery unless leave is granted upon a showing of good cause. The Ninth Circuit has rejected this limitation on plaintiff's discovery rights as inconsistent with the Federal Rules of Civil Procedure and has held that an opposing plaintiff should be permitted the opportunity to conduct discovery. *Metabolife International, Inc. v. Wornick*, 264 F.3d 832, 845 - 846 (9<sup>th</sup> Cir. 2001)

Accordingly, plaintiff Nelson requests leave to conduct discovery relating to the issues should the court preliminarily find that his evidence is insufficient to support his claims.

**CONCLUSION**

In summation, this court should reject and strike defendants' proffer of supplemental "evidence" which they should have included in their opening brief on this motion. Even if this court receives defendants' questionable "evidence," it is insufficient to overcome plaintiff's evidentiary showing that "there is a probability that plaintiff will prevail on the claim" within the meaning of California Code of Civil Procedure ' 425.16(b)(1). For the foregoing reasons, plaintiff Nelson respectfully requests this court to deny defendants' Special Motion to Strike or, in the alternative, to defer its decision and grant plaintiff leave to conduct additional discovery prior to the final determination of this motion on the merits.

Dated: April 15, 2009

O'CONNOR & ASSOCIATES

By \_\_\_\_\_

John E. O'Connor

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DON A. NELSON